

Certain regulations concerning household registration transfer for those relocating to Shenzhen (trial implementation)

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[深圳市户籍迁入若干规定（试行）](#)

Article 1

These Regulations are based on “Certain opinions on the task of strengthening and improving population management in Shenzhen,” with the purpose of controlling total population numbers, achieving a better social balance in the population, increasing overall citizen “quality” and encouraging optimised development of the city’s population, economy, society, resources and environment.

Article 2

The term “household registration (hukou) transfer” is used in these Regulations in reference to those relocating to the city who are transferring to Shenzhen household registrations originally undertaken elsewhere.

Article 3

In accordance with economic and social conditions in Shenzhen, the municipal government is implementing a set of macro-controls on hukou transfers to the city.

Article 4

Planning for hukou transfers is based on the city’s industrial and population management policies.

The annual plan is tailored to personnel demand from the changing industrial profile of the city and its major construction projects. Its focus is satisfying the wide-ranging personnel requirements of the four principal industries of the city and of its major construction projects.

Article 5

Annual hukou transfer planning for all Shenzhen is the responsibility of the municipal development and reform authorities (office of the working group for coordinating urban population activities). This team also oversees and reviews progress in plan implementation, and researches and proposes policies and measures regarding the temporary-resident population and external human resources moving into Shenzhen. It is responsible for ensuring full implementation of hukou transfer policy.

The municipal Department of Labour and Social Security is responsible for devising procedures for worker deployment, implementing them based on the plan, as well as for maintaining the occupational inventory yearbook.

The municipal Personnel Bureau is responsible for devising strategies for attracting human resources and maintaining the inventory yearbook of specialists and professionals. Based on the plan, it carries out its tasks of receiving fresh graduates from full-time regular colleges outside Shenzhen, and handling cadre transfers.

The municipal Public Security Bureau is responsible for processing transfers of hukou for all categories of applicant.

Article 6

Hukou transfers should be undertaken subject to integrated implementation of the annual plan and management of eligibility conditionality and transfer review and approval, in line with the following municipal policies and goals: (1) attracting high-quality human resources (core task), (2) achieving a balanced population structure (objective) and (3) prioritizing those with temporary residence permits who have stable work and accommodation in Shenzhen (principle).

The total number of people transferring their hukou to Shenzhen should fall within the annual hukou transfer plan.

Article 7

Transferors of hukou shall be divided the following categories: (1) Technological experts and technicians, (2) Investors paying tax to the city, and (3) policy hukou transfers.

“Technological experts and technicians” refers to those among the city’s temporary-resident population selected for recruitment and transfer on the basis of age, seniority, professional competence and other criteria, fresh graduates attracted to Shenzhen from outside and any other competent technological or technical talent meeting conditions for relocation to Shenzhen. Such applicants shall have their hukou transfers processed based on these Regulations.

“Investors paying tax to the city” refers to legal representatives of corporations, individual shareholders, partners in joint ventures, owners of sole-proprietor

businesses, self-employed workers or businessmen, and individuals whose tax payments have passed a certain threshold, who have made investments in Shenzhen, pay a certain level of tax to the city and meet conditions for transferring their hukou to Shenzhen. Such applicants shall have their hukou transfers processed based on these Regulations.

“Policy hukou transfers” refers to employees moving into the city on government policy assignment, under state, provincial or municipal regulations; this definition shall include accompanying former spouses (now living apart), elderly dependants and minors, as well as demobilized ex-servicemen on job placements.

Article 8

Technological experts and technicians transferring their hukou shall meet one of the following criteria:

- (1) They shall be graduating students of and those who have gained degree-level or higher qualifications from full-time state or provincial institutions of higher learning.
- (2) They shall be professional employees who have graduated from a university in China with a bachelor’s degree or higher qualifications, or shall have acquired mid-level or higher specialist technical qualifications and have worked overseas for at least two years in specialist technological or management posts.
- (3) They shall be overseas students who have returned to China having received a bachelor’s degree or higher qualification at an overseas institution; or they shall be visiting scholars and advanced students who have obtained mid-level or higher specialist technological qualifications at an educational institution in China and have also spent at least one year working or studying at a college or research institute such as a foreign institute of higher learning.
- (4) They shall have high-level professional technological qualifications, or have acted as project leaders and received the Science and Technology Progress Award from Shenzhen, Guangdong Province, State or higher authorities.
- (5) They shall have high-level professional technical qualifications.
- (6) They shall have qualifications as professional technicians.

(7) They shall have acquired high-level vocational qualifications as recognised by the municipality of Shenzhen, have graduated from senior high school or higher-level colleges, and been enrolled in Shenzhen's public social security and pension plans for at least three years.

(8) They shall have been awarded Grade 1 or 2 prizes in state-sponsored professional skills competitions, or in professional skills competitions jointly sponsored by Guangdong Province, Shenzhen Labour and Social Security departments and the industries concerned; or have received Shenzhen municipal Party committee and city government's culturally advanced citizen, outstanding security official or advanced (production) worker commendations.

In the preceding items (1), (2), (3), and (6), an age limit of 45 applies, and in the case of (4) the limit is 50; for (5), the limit is 48 and for (7) and (8) it is 40.

Article 9

Investors paying tax to the city who apply to transfer their hukou to Shenzhen shall meet one of the following criteria:

(1) [If they are representative of] a corporate enterprise legally registered in the city that has paid a cumulative total of at least 3 million yuan over the last three consecutive tax years.

(2) If they are the sole owner of a business enterprise, individual shareholder in a limited-liability company, or partner with a stake in a partnership business legally registered in Shenzhen, their share in the paid-in capital of the enterprise shall be large enough to be proportionate to at least 6 million yuan in cumulative total tax payments by the enterprise over the last three consecutive years.

(3) If individuals employed within Shenzhen, they shall have made statutory personal income-tax payments totalling at least 240,000 yuan over the last three consecutive tax years.

(4) If self-employed workers or businessmen with businesses legally registered in Shenzhen, they shall have paid a total of at least 300,000 yuan in tax over the last three consecutive tax years.

Representatives (officials) of companies coming under in item (1) of this article,

sole-proprietors, individual shareholders and partners in partnership ventures in item (2) above, taxpayers in item (3) above and self-employed workers or businessmen as in item (4) above shall have worked at the same enterprise over the past three consecutive years and have obtained and applied for relevant identification and eligibility documentation for all that period, shall at the time of hukou transfer to Shenzhen be at most 50 years old. Investors whose tax payments exceed statutory minimums by 100 percent or more may have their age limit raised to 55 years at the time of hukou transfer to Shenzhen.

Article 10

Policy hukou transfers will be carried out on the basis of administrative review and approval.

Article 11

All applicants meeting the conditions in Article 8 of these Regulations who have worked in Shenzhen for fully three years and have made the due contributions to Shenzhen's social security and public pension programmes, may, when applying to transfer their hukou to Shenzhen, have their age limit calculated based on their age when they first began work in Shenzhen and joined the city's social security programmes. However, if they have reached the statutory retirement age, to draw a monthly pension they shall be required to have reached the minimum number of years of contribution as laid down by Shenzhen's social security and pension policies. If advanced age rules out pension eligibility, the shortfall in contributions shall be paid by the transferring unit.

Article 12

The competent authorities shall carry out checks and examine the academic, technological and professional qualifications of applicants who fulfill the conditions of Article 8 of these Regulations. Hukou transfer and related procedures shall be completed, after the competent offices have been briefed by the employer who handled applicants' original labour and personnel registration, and checks have been made with reference to regulations on cadre deployment and worker recruitment.

Article 13

Those who do not meet the conditions in Article 8 of these Regulations, but who are personnel urgently needed for the economic and social development of Shenzhen, shall have their hukou transfer application examined and approved respectively (depending on case) at the Personnel and the Labour and Social Security departments,

within the parameters of the annual plan.

Article 14

Tax-paying investors who meet one of the conditions of Article 9 of these Regulations may apply to have their hukou transfer to Shenzhen carried out by the competent authorities.

Article 15

Tax payments of all kinds made by enterprises or individuals must have been declared and remitted in full and in accordance with the law. Any tax payments that remain unpaid and pending placement in treasury by the tax and judicial authorities shall not be taken into account.

Article 16

When an eligible applicant transfers hukou, the application shall, in addition to meeting all the requirements laid down here, be in conformity with state, provincial and municipal family-planning policy, and there must be no record of any participation by the applicant in organisations or activities prohibited by the People's Republic of China. The application shall also conform with the relevant regulations of the Personnel and the Labour and Social Security departments on hukou transfer.

Article 17

All those seeking to transfer hukou to Shenzhen should initiate procedures at the following departments, receive their hukou index card and then complete transfer procedures at the Public Security Bureau.

(1) Those eligible for hukou transfer as technicians and specialists shall have their applications processed at their municipal and district Personnel and Labour departments, based on these Regulations and their own individual circumstances.

(2) Those applying for hukou transfer as tax-paying investors who meet the time limits set by the Personnel and Labour departments and who conform to municipal conditions on worker and cadre deployment shall have their applications processed respectively at their municipal and district Personnel and Labour departments.

(3) Those applying for hukou transfer as tax-paying investors who do not conform to municipal conditions on worker and cadre deployment may make their applications directly at the municipal Public Security Bureau. Those applying through the Public

Security bureau will not be entitled to seniority-related benefits, and their minimum number of years of pension contributions shall be calculated based only on the number of years for which premiums have been paid in Shenzhen. Contributions relating to periods of work in other locations shall not be taken into account.

(4) Those eligible for policy hukou transfers shall be processed by the Public Security, Personnel, Labour and Civil Affairs departments according to circumstances.

Article 18

When applying to transfer hukou, those eligible as tax-paying investors shall be required to submit to the competent authorities evidence of full tax payment and tax payment certificates from the tax authorities.

Article 19

When seeking to transfer hukou under these Regulations, eligible applicants who have a rural hukou may at the same time complete procedures for transfer of rural to urban hukou; and their children, if minors, may be included in the new registration of either the father or the mother.

Article 20

All those moving into Shenzhen under these municipal regulations shall join the municipal social security and public pension programmes, as specified in municipal regulations. On reaching the statutory retirement age, they are only entitled to draw municipal monthly social security and public pension payments if they have made full contributions over a 15-year period under the city's social security and public pension regulations.

Article 21

Through these Regulations and under the principles of redefining the role of government and pursuing greater administrative efficiency, the competent authorities shall compile specific methods and procedures [for hukou transfer].

Article 22

These Regulations enter effect on the day of their publication.